

**Substitute for form 1449/PTO**
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 7

Complete if Known

Application Number	09/677,535
Filing Date	10/02/2000
First Named Inventor	Bruce BENT et al.
Art Unit	3696
Examiner Name	Daniel S. Felten
Attorney Docket Number	049212-0103

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Sheet	2	of	7	Attorney Docket Number	049212-0103

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		Number-Kind Code ² (if known)			
	E40	US - 2006/0155644	07-13-2006	REID et al.	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	E59	WO - 02/42952	05-30-2002	COMMONWEALTH BANK OF AUSTRALIA		

NON PATENT LITERATURE DOCUMENTS			
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	E60	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, and Double Rock Corporation against Promontory Interfinancial Network, LLC and MBSC Securities Corporation, including Cover Sheet, Summons, Complaint and Rule 7.1 Statement, March 24, 2009, Case No. 09 CV 2675.	
	E61	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, and Double Rock Corporation against Deutsche Bank AG, Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, including Cover Sheet, Summons, Complaint and Rule 7.1 Statement, March 24, 2009, Case No. 09 CV 2677.	

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		Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number	09/677,535 10/02/2000 Bruce BENT et al. 3696 Daniel S. Felten 049212-0103
Sheet	3	of	7

NON PATENT LITERATURE DOCUMENTS			
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	E65	Lawsuit by Promontory Interfinancial Network, LLC against Double Rock Corporation, p/k/a Reserve Management Corporation, Island Intellectual Property LLC and Lids Capital LLC, including Cover Sheet, Summons and Complaint, April 14, 2009, Civil Action No. 3:09 CV 217.	
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	E76	CMA, Insured Savings Account Fact Sheet, Merrill Lynch, Pierce, Fenner & Smith Incorporated, 1997, pages 49-57.	
	E77	CMA, The Investor Credit Line Service, Cost-Effective Financing for the '90s, Merrill Lynch, Pierce, Fenner & Smith Incorporated, 1997, pages 36-46.	
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	E83	FINISTAR, Providing FDIC Insured Funds as a Stable Source of Deposits to Commercial Banking Institutions, 16 Sheets, www.Finistar.com .	
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	E89	Letter From Jamey Basham, Attorney, LEXSEE 1990 FDIC Interp. Ltr., Lexis 1, Federal Deposit Insurance Corporation, FDIC-90-02, January 3, 1990, 2 Sheets.	
	E90	Letter From Merle Y. Waldman, LEXSEE 1985 Sec No- Act., Lexis 1593, Securities Exchange Act of 1934 -- Section 15(a), January 8, 1985, 11 Sheets.	
	E91	Letter To Mr. James E. Creekman, Group Vice President, From Oliver Ireland, Associate General Counsel, August 1, 1995, 4 Sheets.	
	E92	Letter To Mr. Jonathan L. Levin, Esq., From Oliver Ireland, Associate General Counsel, October 18, 1996, 2 Sheets.	
	E93	Letter To Mr. L.P. Fleming, Jr., Esq., From Oliver Ireland, Associate General Counsel, February 7, 1995, 3 Sheets.	
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	E99	Merrill Lynch, Pierce, Fenner & Smith Incorporated, "Information Statement," 2000, 12 Sheets.	
	E100	Money Fund Report, Bank of New York Adds Insured Sweeps Option, Friday, May 3, 2002, The Reserve Funds, 1 Sheet.	
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	E102	Money Market Insight's, Goldman Sachs May Create Bank to Offer Insured Cash Sweeps, August 2002 Issue, 3 Sheets.	
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	E104	O'BRIAN, "Money-Market Funds Suit Many Investors, But Proud Creator Frets About Extra Risk," Re-Printed From The Wall Street Journal, Monday, November 6, 2000, Dow Jones & Company, Inc., 2 Sheets.	
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	E109	RING, National /Global, "Amex Spans The Globe in Retail Bank Buildup," November 27, 2000, 1 Sheet.	
	E110	Sweeping Your Firm Into FDIC Insured Deposits, Harken Financial Services, August 4, 2006, 8 Sheets.	
	E111	Testimony of Bruce R. Bent, CEO of The Reserve Funds, Before The Financial Institutions and Consumer Credit Subcommittee House Financial Services Committee U.S. House of Representative, Hearing On H.R. 758 and H.R. 859, March 5, 2003, 4 Sheets.	
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	E113	The Insured Savings Account, Issuer Guide to Offering MMDAs Through Merrill Lynch, Merrill Lynch Money Markets, Inc., "Operational Guide To The Merrill Lynch MMDA Program 1986", September 1986 3 Sheets.	
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/677,535
				Filing Date	10/02/2000
				First Named Inventor	Bruce BENT et al.
				Art Unit	3696
				Examiner Name	Daniel S. Felten
Sheet	7	of	7	Attorney Docket Number	049212-0103

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	E115	The Reserve Funds, "Objectives, Observations & Strategies For American Enterprises Inv., October 18, 2000, 11 Sheets.	
	E116	The Reserve Funds Press Release, "The Reserve Funds and Frontier Bank Partner to Offer Revolutionary Banking Product," 5 Sheets, August 1, 2000.	
	E117	The Reserve Funds, Reserve Management and Irwin Union Bank and Trust Company Partner to Offer The Reserve Return Sweep, For Immediate Release, March 8, 2001, 2 Sheets.	
	E118	The Unmatched Sweep Solution From The Cash Management Expert, 2 Sheets.	
	E119	WADDELL, "Sweeping Clean," Advisor, The Advisor to Advisors, 2 Sheets.	

Examiner Signature		Date Considered	
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